



# An Equity-Grounded Approach to Developing Computational Phenotypes for Peripheral Arterial Disease

Andrew Gonzalez, MD JD MPH

*Assistant Professor of Surgery, Indiana School of Medicine*

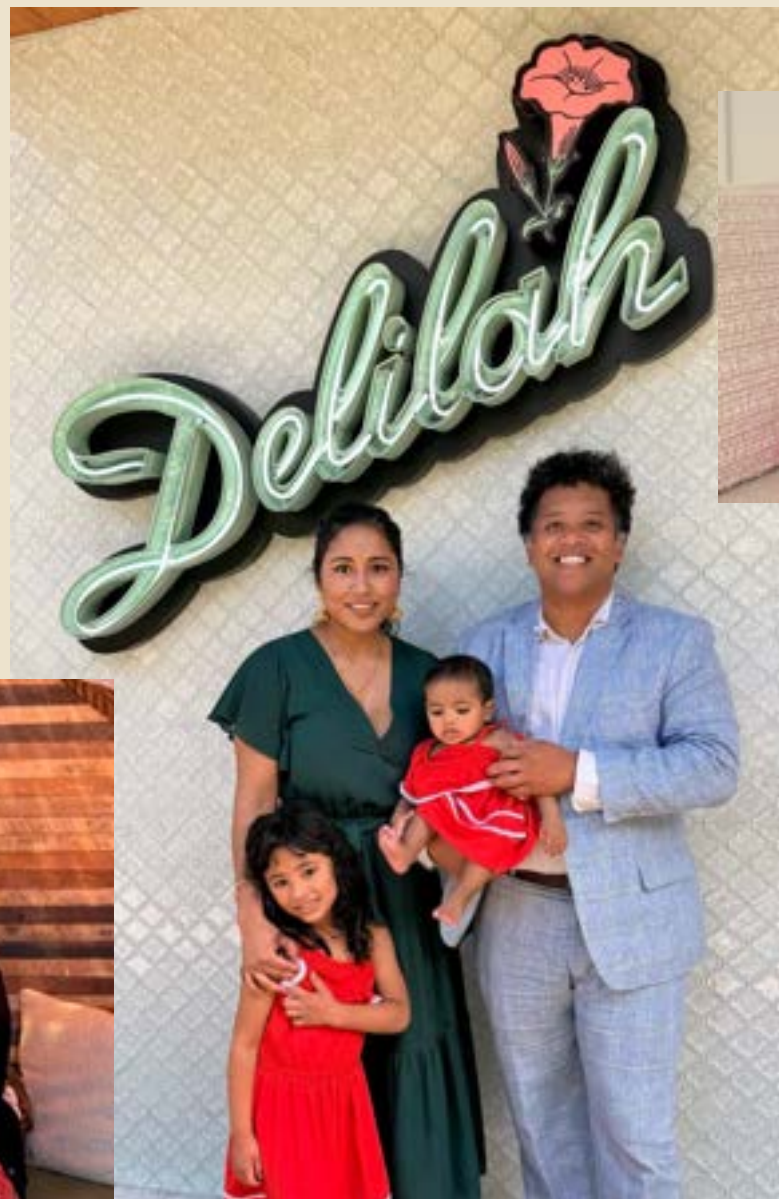
*Associate Director for Data Science, Regenstrief Institute Center for Health Services Research*



# Disclosures

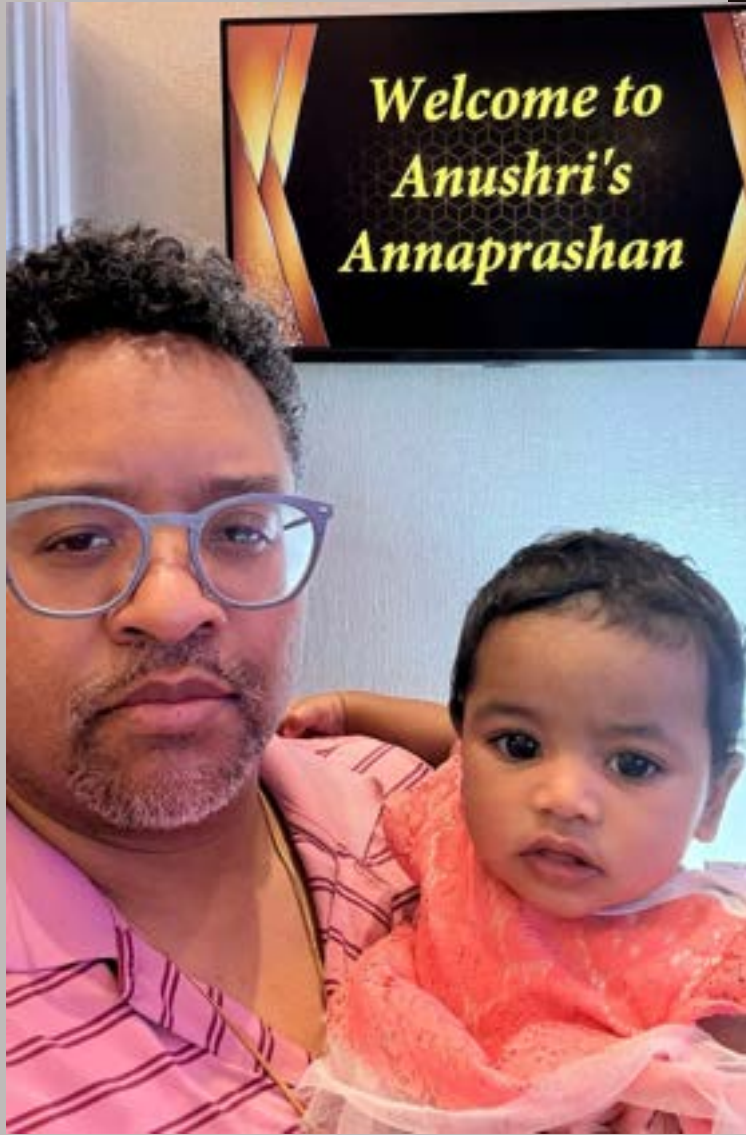
1. Research funding from Doris Duke Foundation, Indiana University Health Foundation, National Academy of Medicine
2. Stock and derivatives purchased on the open market (some bearish and some bullish positions) in
  - Apple, AMD, Palo Alto Networks, NVIDIA, Tesla, Google, Microsoft, Meta, Oracle,
3. Intellectual Bias
  - Patient-centered clinician autonomy





**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery





**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery

# Goals of this talk

1. Motivation for DxEx project
2. What did I learn?
  - Technical / Tactical
  - Leadership / Strategy
3. Next Steps



# Outline

**Part 1 – (semi)-autonomous diagnosis in PAD**

**Part 2 – Experiential Learning**

**Part 3 – Next steps**



## Part 1 – Diagnosis in PAD

# (Semi)-autonomous diagnosis in PAD



## Part 1 – Diagnosis in PAD

# Why can't rely on human clinicians?





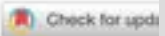
# Humans alone aren't enough for PAD population health

1. Clinicians, **on average**, lack the knowledge, skill, inclination, and resources to reproducibly close the diagnostic loop **in PAD**
  - Knowledge
  - Skill (e.g. performing vascular ultrasound)
  - Inclination (e.g. )
  - Resources (e.g. vascular lab)
2. Patient awareness of PAD is low
  - Poor baseline knowledge
  - Poor retention
3. Patient-provider communication isn't *scalable*

## EDUCATION CORNER

From the Canadian Society for Vascular Surgery

### Knowledge gap of peripheral artery disease starts in medical school



Musaad AlHamzah, MD,<sup>a,b</sup> Rachel Eikelboom, MD,<sup>c</sup> Mohamad A. Hussain, MD,<sup>d</sup> Muzammil H. Syed, BSc(c),<sup>d,e</sup> Konrad Salata, MD,<sup>f</sup> Mark Wheatcroft, MBChB,<sup>a,g</sup> Subodh Verma, MD,<sup>a,g</sup> and Mohammed Al-Omran, MD,<sup>a,d,g</sup> Toronto and London, Ontario, and Winnipeg, Manitoba, Canada; and Riyadh, Saudi Arabia

#### ABSTRACT

**Objective:** Previous data suggest that physicians have suboptimal knowledge about peripheral artery disease (PAD). Our aim was to evaluate Canadian medical students' knowledge of PAD to determine if this knowledge gap exists early in medical training.

**Methods:** We conducted a descriptive, cross-sectional, interview-based study of graduating medical students at the University of Toronto. We used a standardized questionnaire to evaluate students' knowledge of PAD and coronary artery disease (CAD) in the following domains: clinical presentation, risk factors, preventative measures, treatment, and complications. We calculated mean (standard deviation [SD]) scores for each CAD and PAD knowledge domain and examined for differences in PAD vs CAD scores.

**Results:** Seventy-two graduating medical students participated in this study, of which females accounted for 58%. Nearly all participants reported being exposed to PAD (89%) and CAD (92%) through their medical school curriculum. Overall, medical students scored better in identifying CAD characteristics (mean [SD] score, 16.4 [2.7]) compared with PAD (mean [SD] score, 14.6 [3.2]) ( $P < .0001$ ). This difference was driven by the inferior performance of students in identifying risk factors ( $P < .0001$ ), preventative measures ( $P = .049$ ), and complications ( $P < .0001$ ) of PAD compared with CAD. Out-of-class exposure (eg, clinical rotation, research experience) had a positive impact on students' knowledge of both PAD and CAD.

**Conclusions:** Our results demonstrate suboptimal knowledge of medical graduates of both CAD and PAD. Although they share common atherosclerotic risk factors and cardiovascular complications, medical students were less likely to associate these with PAD than CAD. We recommend a comprehensive module that incorporates all presentations of atherosclerotic disorders to enhance students' understanding of these pathologies in medical schools. (J Vasc Surg 2019;70:241-5.)



# Humans alone aren't enough for PAD population health

1. Clinicians, **on average**, lack the knowledge, skill, inclination, and resources to reproducibly close the diagnostic loop **in PAD**
  - Knowledge
  - Skill (e.g. performing vascular ultrasound)
  - Inclination (e.g. )
  - Resources (e.g. vascular lab)
2. Patient awareness of PAD is low
  - Poor baseline knowledge
  - Poor retention
3. Patient-provider communication isn't *scalable*

## Special Report

### Gaps in Public Knowledge of Peripheral Arterial Disease The First National PAD Public Awareness Survey

Alan T. Hirsch, MD; Timothy P. Murphy, MD; Marge B. Lovell, RN;  
Gwen Twillman; Diane Treat-Jacobson, PhD, RN; Eileen M. Harwood, PhD; Emile R. Mohler III, MD;  
Mark A. Creager, MD; Robert W. Hobson II, MD; Rose Marie Robertson, MD;  
W. James Howard, MD; Paul Schroeder, MA; Michael H. Criqui, MD, MPH;  
for the Peripheral Arterial Disease Coalition

**Background**—Lower-extremity peripheral arterial disease (PAD) is associated with decreased functional status, diminished quality of life, amputation, myocardial infarction, stroke, and death. Nevertheless, public knowledge of PAD as a morbid and mortal disease has not been previously assessed.

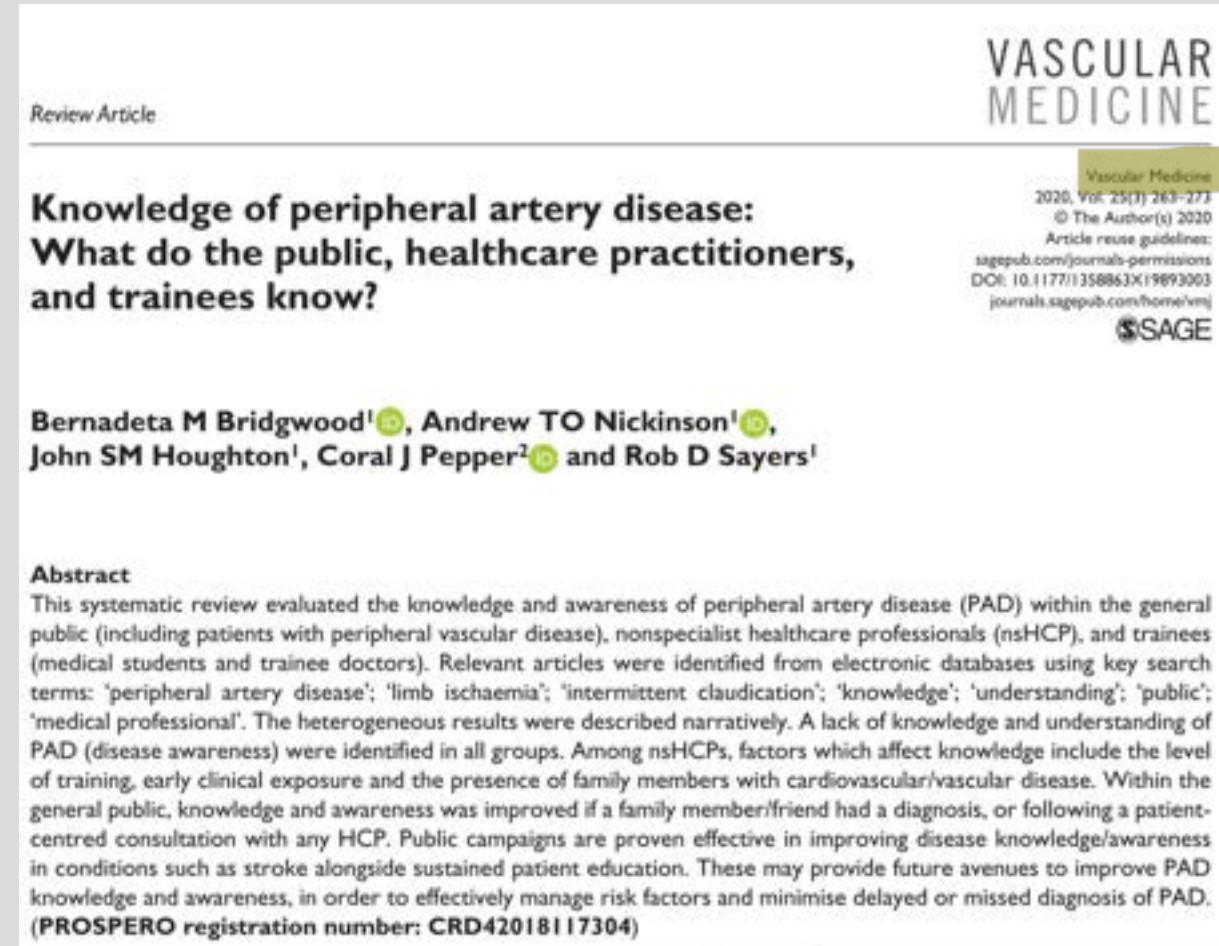
**Methods and Results**—We performed a cross-sectional, population-based telephone survey of a nationally representative sample of 2501 adults  $\geq 50$  years of age, with oversampling of blacks and Hispanics. The survey instrument measured the demographic, risk factor, and cardiovascular disease characteristics of the study population; prevalent leg symptoms; PAD awareness relative to atherosclerosis risk factors and other cardiovascular and noncardiovascular diseases; perceived causes of PAD; and perceived systemic and limb consequences of PAD. Respondents were  $67.2 \pm 12.6$  years of age with a high prevalence of risk factors but only a modest burden of known coronary or cerebrovascular disease. Twenty-six percent of respondents expressed familiarity with PAD, a rate significantly lower than that for any other cardiovascular disease or atherosclerosis risk factor. Within the "PAD-aware" cohort, knowledge was poor. Half of these individuals were not aware that diabetes and smoking increase the risk for PAD; 1 in 4 knew that PAD is associated with increased risk of heart attack and stroke; and only 14% were aware that PAD could lead to amputation. All knowledge domains were lower in individuals with lower income and education levels.

**Conclusions**—The public is poorly informed about PAD, with major knowledge gaps regarding the definition of PAD, risk factors that lead to PAD, and associated limb symptoms and amputation risk. The public is not aware that PAD imposes a high short-term risk of heart attack, stroke, and death. For the national cardiovascular disease burden to be reduced, public PAD knowledge could be improved by national PAD public education programs designed to reduce critical knowledge gaps. (*Circulation*. 2007;116:2086-2094.)



# Humans alone aren't enough for PAD population health

1. Clinicians, **on average**, lack the knowledge, skill, inclination, and resources to reproducibly close the diagnostic loop **in PAD**
  - Knowledge
  - Skill (e.g. performing vascular ultrasound)
  - Inclination (e.g. )
  - Resources (e.g. vascular lab)
2. Patient awareness of PAD is low
  - Poor baseline knowledge
  - Poor retention
3. Patient-provider communication isn't *scalable*



# Humans alone aren't enough for PAD population health

1. Clinicians, **on average**, lack the knowledge, skill, inclination, and resources to reproducibly close the diagnostic loop **in PAD**
  - Knowledge
  - Skill (e.g. performing vascular ultrasound)
  - Inclination (e.g. )
  - Resources (e.g. vascular lab)
2. Patient awareness of PAD is low
  - Poor baseline knowledge
  - Poor retention
3. Patient-provider communication isn't *scalable*

High ●	Moderate ⊙	Low ○	Knowledge level
⊙			Suboptimal PAD knowledge in medical graduates
⊙			Lack of clarity of referral guidelines
⊙			No trainee knew how to completely perform an ABI
○			Knowledge of PAD was 60.5% and less known about symptoms/medication
⊙			Improved PAD knowledge with education
●			Uncertainty and varied PAD beliefs
○			Poor PAD knowledge and ABI technique
●			Lack of awareness of PAD
●			General participant PAD knowledge
⊙			Lack of training, time, equipment and skills for PAD
●			Mixed knowledge and awareness
⊙			Poor knowledge with varied reported training
○			Knowledge of risk factors varied between 44.7% and 71.8%
○			No knowledge increase with knowledge only-based education

<https://pubmed.ncbi.nlm.nih.gov/32000617/>





# Humans alone aren't enough for PAD population health

1. Clinicians, **on average**, lack the knowledge, skill, inclination, and resources to reproducibly close the diagnostic loop **in PAD**
  - Knowledge
  - Skill (e.g. performing vascular ultrasound)
  - Inclination (e.g. )
  - Resources (e.g. vascular lab)
2. Patient awareness of PAD is low
  - Poor baseline knowledge
  - Poor retention
3. Patient-provider communication isn't *scalable*

High ●	Knowledge level
Moderate ◎	
Low ○	
○	PAD knowledge depends on health insurance status
◎	Largely unaware of PAD
●	Gender differences in claudication description
○	Poor PAD and risk factor knowledge
◎	Low levels of PAD knowledge and awareness
◎	Risk factor awareness for PAD is suboptimal
●	PAD knowledge was poor
○	Specialist consultation improves PAD knowledge
○	80% correctly responded regarding foot care
○	Low awareness of PAD symptoms/risk factors
●	83% of patients with prior PAD knew their diagnosis
●	nsHCP PAD awareness is low
◎	All groups performed poorly

<https://pubmed.ncbi.nlm.nih.gov/32000617/>





# Clinical interviews are inherently non-scalable due to structural aspects of communication

1. Patient-provider communication is often multi-turn



# Clinical interviews are inherently non-scalable due to structural aspects of communication

1. Patient-provider communication is often multi-turn
2. Very **limited opportunities for**  $1:m_{\text{people}}$  communication are inversely proportional to the conversation's depth/sensitivity.



# Clinical interviews are inherently non-scalable due to structural aspects of communication

1. Patient-provider communication is often multi-turn
2. Very **limited opportunities for 1:m<sub>people</sub>** communication are inversely proportional to the conversation's depth/sensitivity.

Clinical Scenario	1:m Comm Opp	Depth of Comm
<i>Preoperative bariatric surgery information session conducted by a nurse</i>	High	Shallow
<i>taking a typical history and physical for a geriatric patient with her daughter</i>	Moderate	Medium
<i>Taking a focused history from a teen who you suspect is being abused by family member</i>	Very low	Deep



# Clinical interviews are inherently non-scalable due to structural aspects of communication

Clinical Scenario	1:m Comm Opp	Depth of Comm
<i>Preoperative bariatric surgery information session conducted by a nurse</i>	High	Shallow
<i>taking a typical history and physical for a geriatric patient with her daughter</i>	Moderate	Medium
<i>Taking a focused history from a teen who you suspect is being abused by family member</i>	Very low	Deep

3. Filtered through various kinds of “non-random error associated with increased delta between reality and perception”



# Clinical interviews are inherently non-scalable due to structural aspects of communication

- 1. Patient-provider communication is often multi-turn
- 2. Very **limited opportunities** for  $1:m_{\text{people}}$  communication are inversely proportional to the conversation's depth/sensitivity.

Clinical Scenario	1:m Comm Opp	Depth of Comm
<i>Preoperative bariatric surgery information session conducted by a nurse</i>	High	Shallow
<i>taking a typical history and physical for a geriatric patient with her daughter</i>	Moderate	Medium
<i>Taking a focused history from a teen who you suspect is being abused by family member</i>	Very low	Deep

- 3. Filtered through various kinds of “**non-random error** associated with increased delta between reality and perception”



We need **human-machine** hybrid  
systems...



## Part 2 – Experiential Learning

# Focusing on systems



# Hierarchical components of a clinical *socio-technical* system

- {**Service**} (class: Clinical, research, administrative, legal, logistical, business)
  - Clinical {**activity**} composed of **Tasks** (thinking, doing, recording, communicating) with **Purposes** (*diagnosis, prognosis, treatment selection*)
    - Data processing {**pipeline**}
      - machine {**agents**}
        - ❖ Deep learning {**models**}



# Hierarchical components of a clinical *socio-technical* system

1. {**Service**} (class: Clinical, research, administrative, legal, logistical, business)
2. Clinical {**activity**}
  - **Task** (output): thinking, doing, recording, communicating
  - **Purpose** (1<sup>st</sup> principles): *diagnosis, prognosis, treatment selection*
3. Data processing {**pipeline**}
4. machine {**agents**}
5. Deep learning {**models**}



# Hierarchical components of a clinical *socio-technical* system

1. {**Service**} (class: Clinical, research, administrative, legal, logistical, business)
2. Clinical {**activity**}
  - **Task** (output): thinking, doing, recording, communicating
  - **Purpose** (1<sup>st</sup> principles): *diagnosis, prognosis, treatment selection*
3. Data processing {**pipeline**}
4. machine {**agents**}
5. Deep learning {**models**}





# Hierarchical components of a clinical *socio-technical* system

1. {**Service**} (class: Clinical, research, administrative, legal, logistical, business)
2. Clinical {**activity**}
  - **Task** (output): thinking, doing, recording, communicating
  - **Purpose** (1<sup>st</sup> principles): *diagnosis, prognosis, treatment selection*
3. Data processing {**pipeline**}
4. machine {**agents**}
5. Deep learning {**models**}

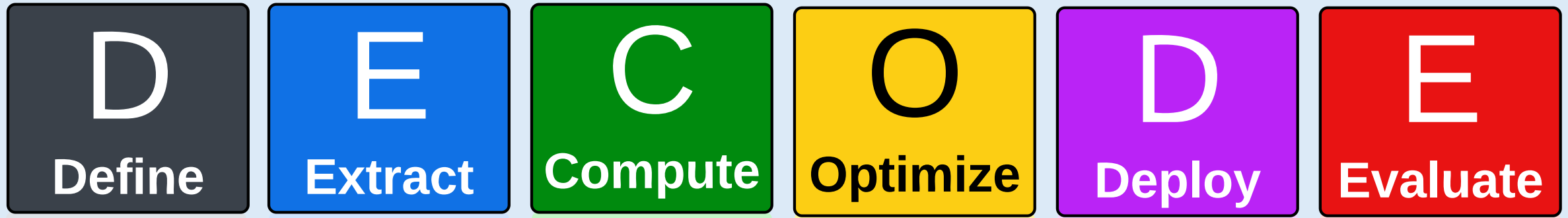


## Part 2 – Experiential Learning

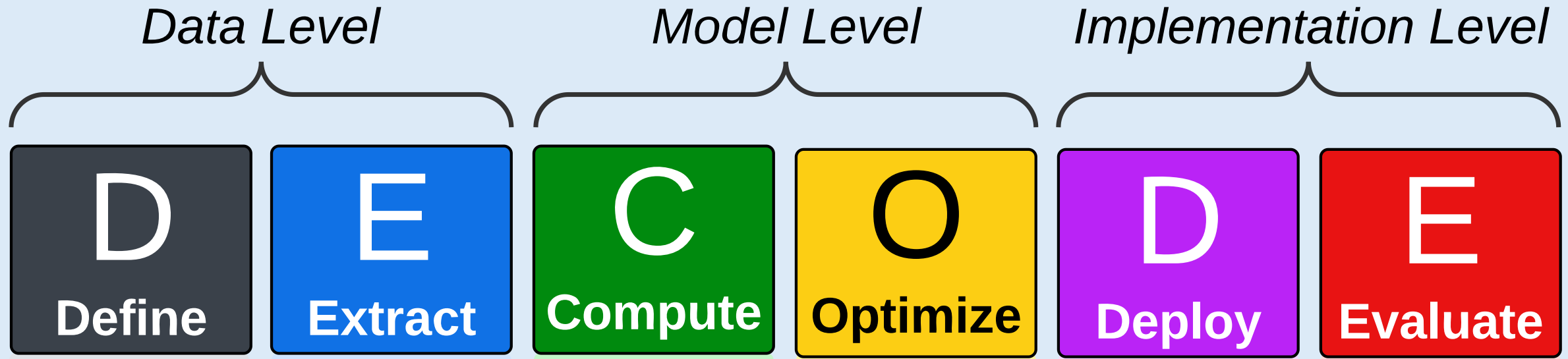
# iCHAI DECODE framework for *developing & deploying* clinical AI systems



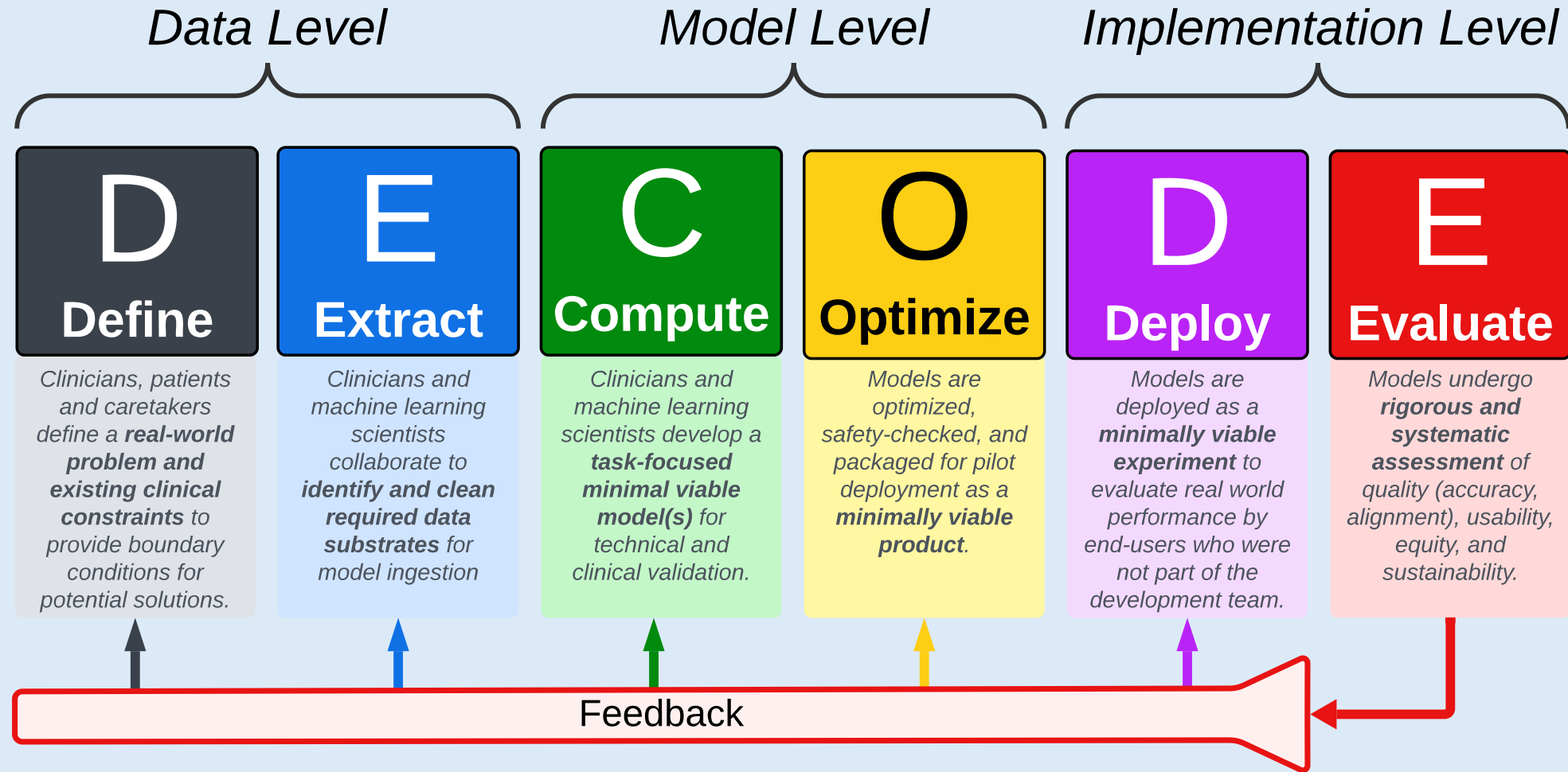
# iCHAI Conceptual model {*end-to-end* ML Dev-Dep pipeline}



# iCHAI Conceptual model {*end-to-end* ML Dev-Dep pipeline}

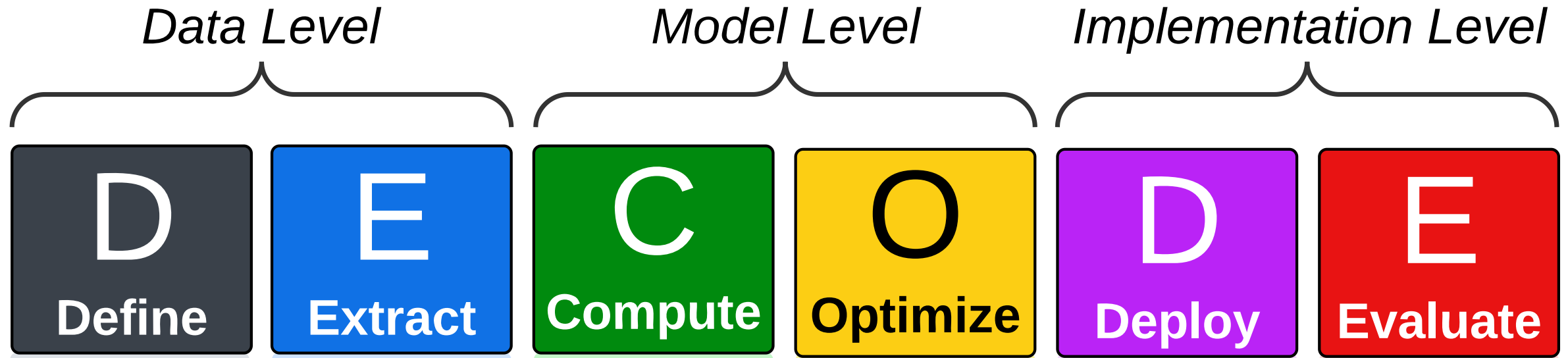


# iCHAI Conceptual model {*end-to-end* ML Dev-Dep pipeline}

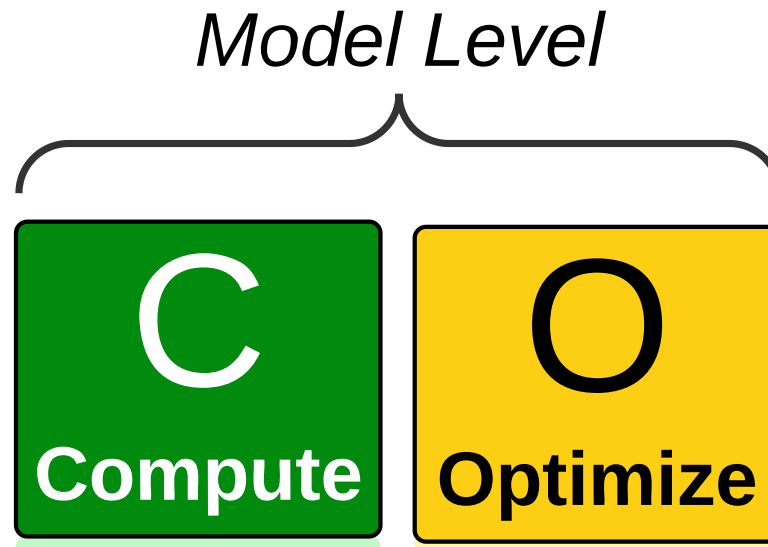




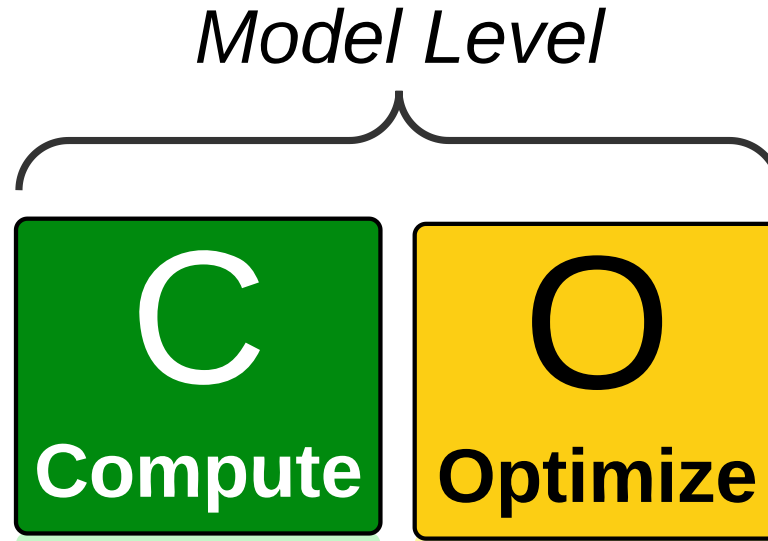
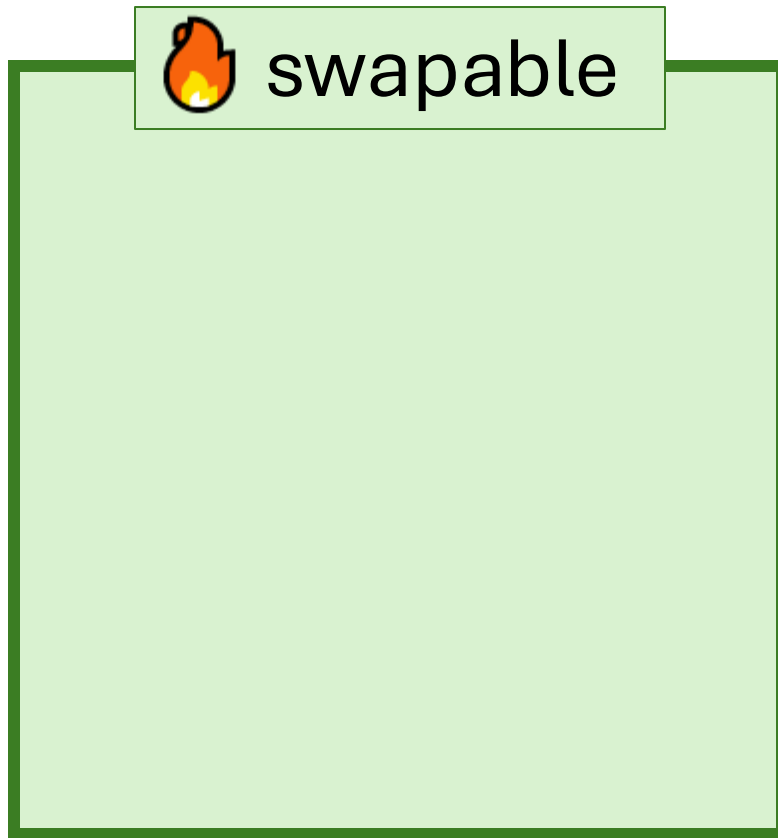
# iCHAI Conceptual model {*end-to-end* ML Dev-Dep pipeline}



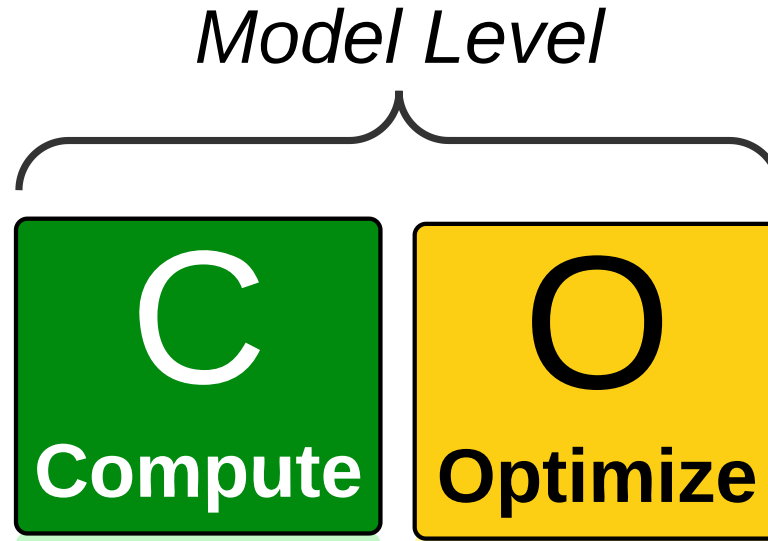
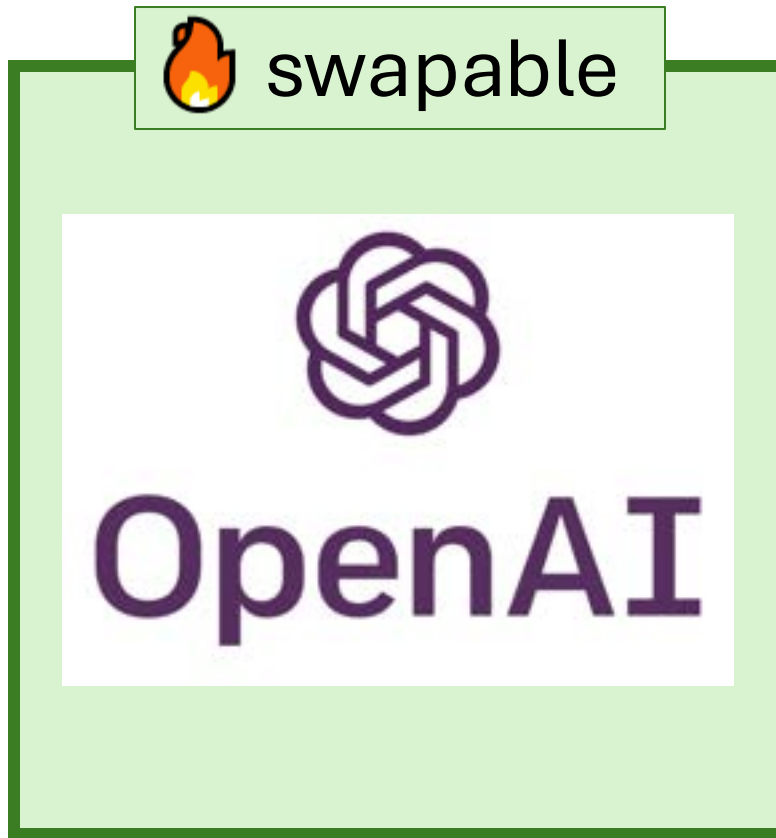
*{end-to-end ML Dev-Dep pipeline}*  
**is modular & model agnostic**



{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



swapable

LLama 3



*Model Level*

C

Compute

O

Optimize



INDIANA UNIVERSITY SCHOOL OF MEDICINE  
Department of Surgery | Division of Vascular Surgery



REGENSTRIEF INSTITUTE  
Center for Health Services Research

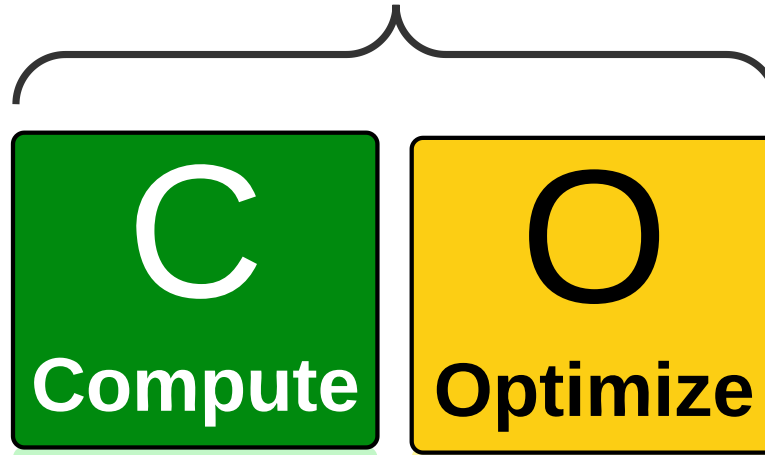
{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



swapable



*Model Level*





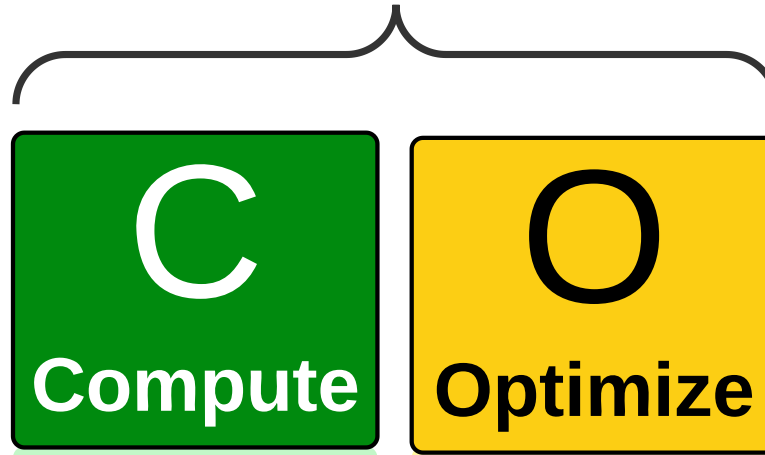
{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



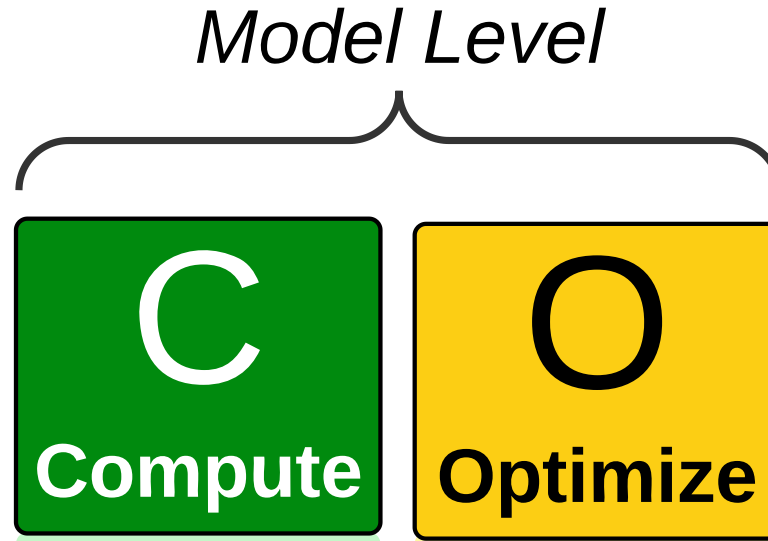
swapable



*Model Level*



{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



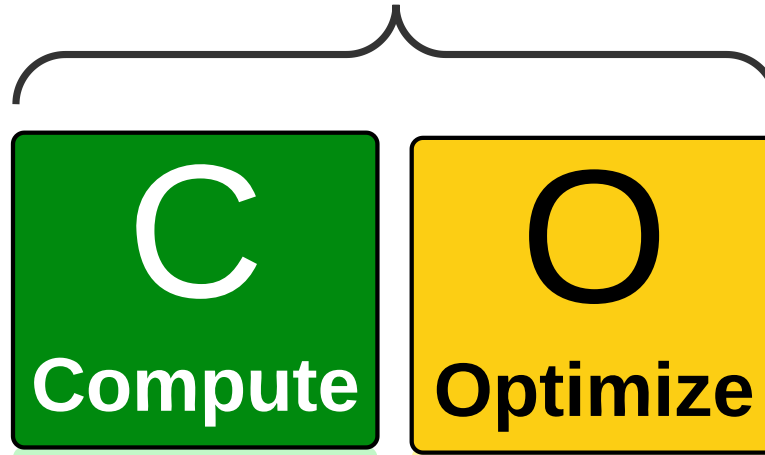
{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



swapable



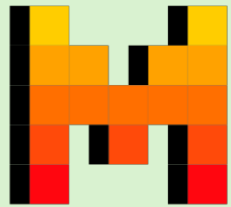
*Model Level*



*{end-to-end ML Dev-Dep pipeline}*  
**is modular & model agnostic**

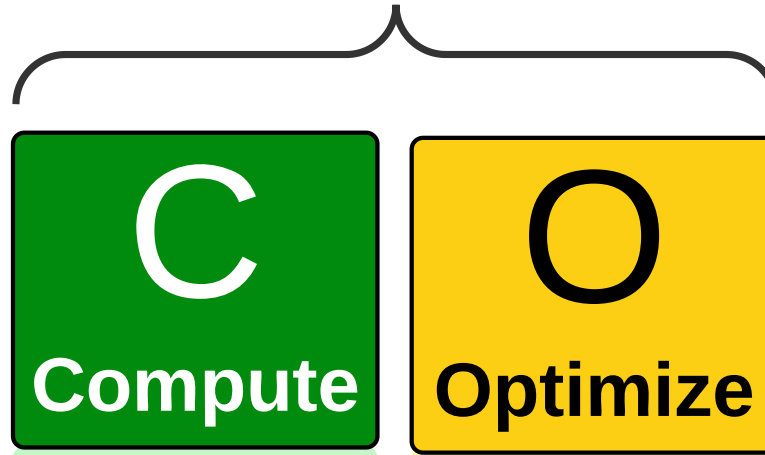


swapable



**MISTRAL  
AI\_**

*Model Level*



**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

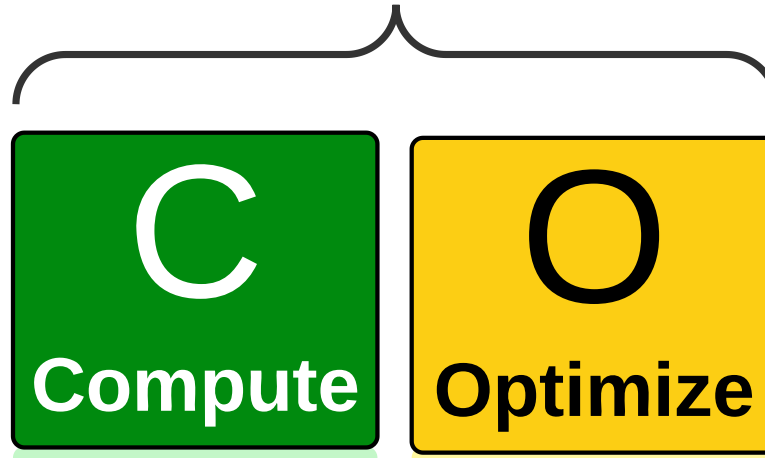
{*end-to-end* ML Dev-Dep pipeline}  
is modular & model agnostic



swapable



*Model Level*



## Part 2 – Experiential Learning

# A framework for real-world performance



**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research



# Which domains of performance matter in the real world?



# Original Evaluation Framework

**Table 1.** iCHAI  $E^3$  Evaluation framework for clinical AI systems

	<i>Data</i>	<i>Deep Learning Model</i> (or chained system)	<i>Downstream Task</i> (Clinical or pop. Health)
<b>Efficacy</b>			
<b>Efficiency</b>			
<b>Equity</b>			



# Original Evaluation Framework

**Table 1.** iCHAI  $E^3$  Evaluation framework for clinical AI systems

		Data	Deep Learning Model (or Chained System)	Downstream Task (clinical or pop. health)
Efficacy	Discussion			
	Experiments			
	Deliverable			
Efficiency	Discussion			
	Experiments			
	Deliverable			
Equity	Discussion			
	Experiments			
	Deliverable			

# Original Evaluation Framework

**Table 1.** iCHAI  $E^3$  Evaluation framework for clinical AI systems

	<i>Data</i>	<i>Deep Learning Model</i> (or chained system)	<i>Downstream Task</i> (Clinical or pop. Health)
<b>Efficacy</b>			
<b>Efficiency</b>			
<b>Equity</b>			

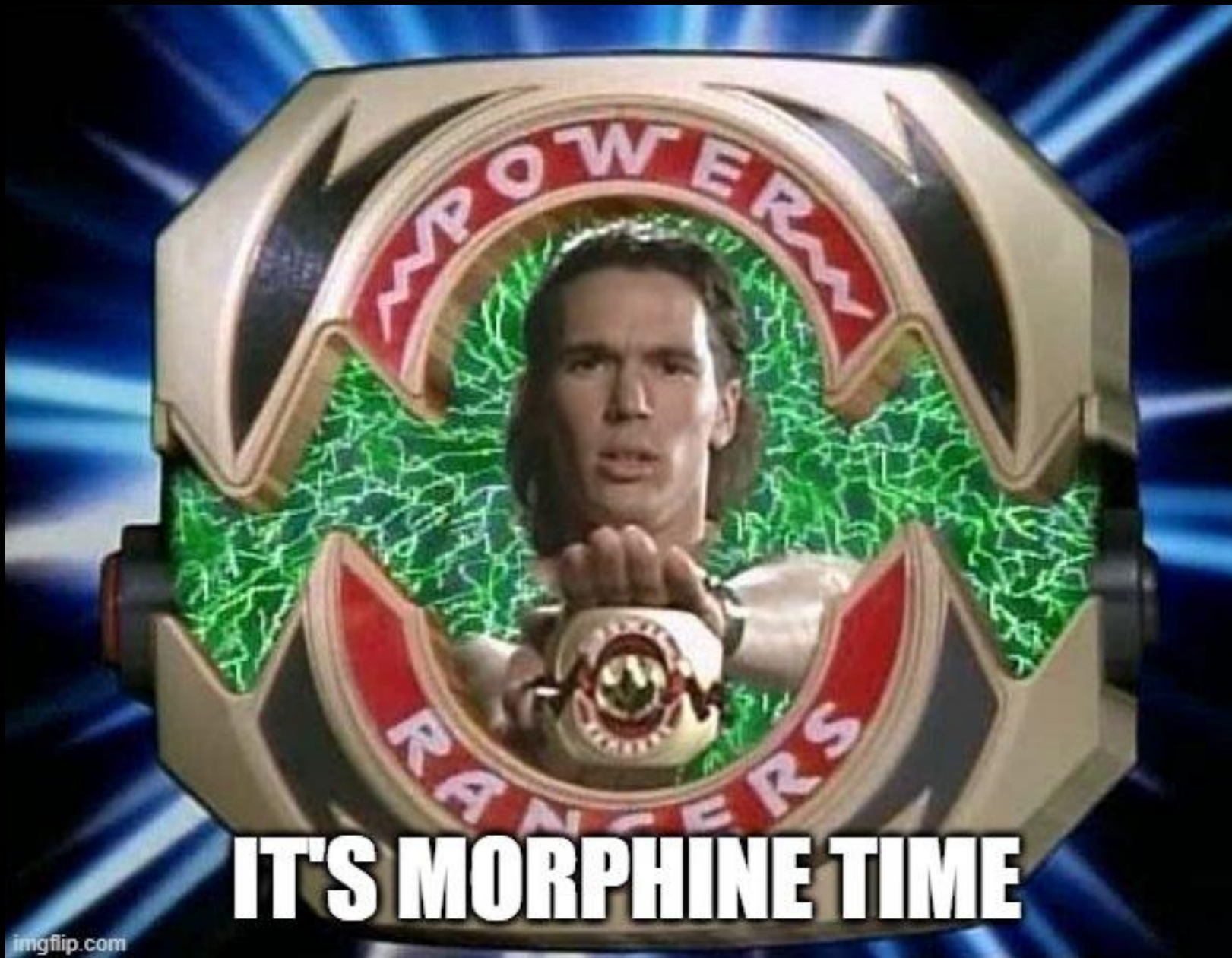


# Original Evaluation Framework

**Table 1.** iCHAI  $E^3$  Evaluation framework for clinical AI systems

	<i>Data</i>	<i>Deep Learning Model</i> (or chained system)	<i>Downstream Task</i> (Clinical or pop. Health)
<b>Efficacy</b>			
<b>Efficiency</b>			
<b>Equity</b>			





**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

# Revised Evaluation Framework

Table 1. iCHAI A2 Evaluation framework for clinical AI system minimal viability

	<i>Input Data</i>	<i>Output Predictions</i>	
		<i>Deterministic</i>	<i>Generative</i>
<b>Accuracy</b>	<b>Observability</b> of the training data? <b>Transparency</b> labelling ontology? <b>Provenance</b> of the labeling process?	Can we <b>verify</b> if given the data the model is attending to? (e.g. a text snippet)	Would this <b>change clinical management</b> ? (decision focused)
<b>Alignment</b>	In the real-world, is this how the input data will look with respect to <u>format and content</u> ?	<u>Format and content</u> you want to see at the point of care? Do providers make better decisions in response to this digital health intervention?	





# Improving performance – let's take a trip to the dojang

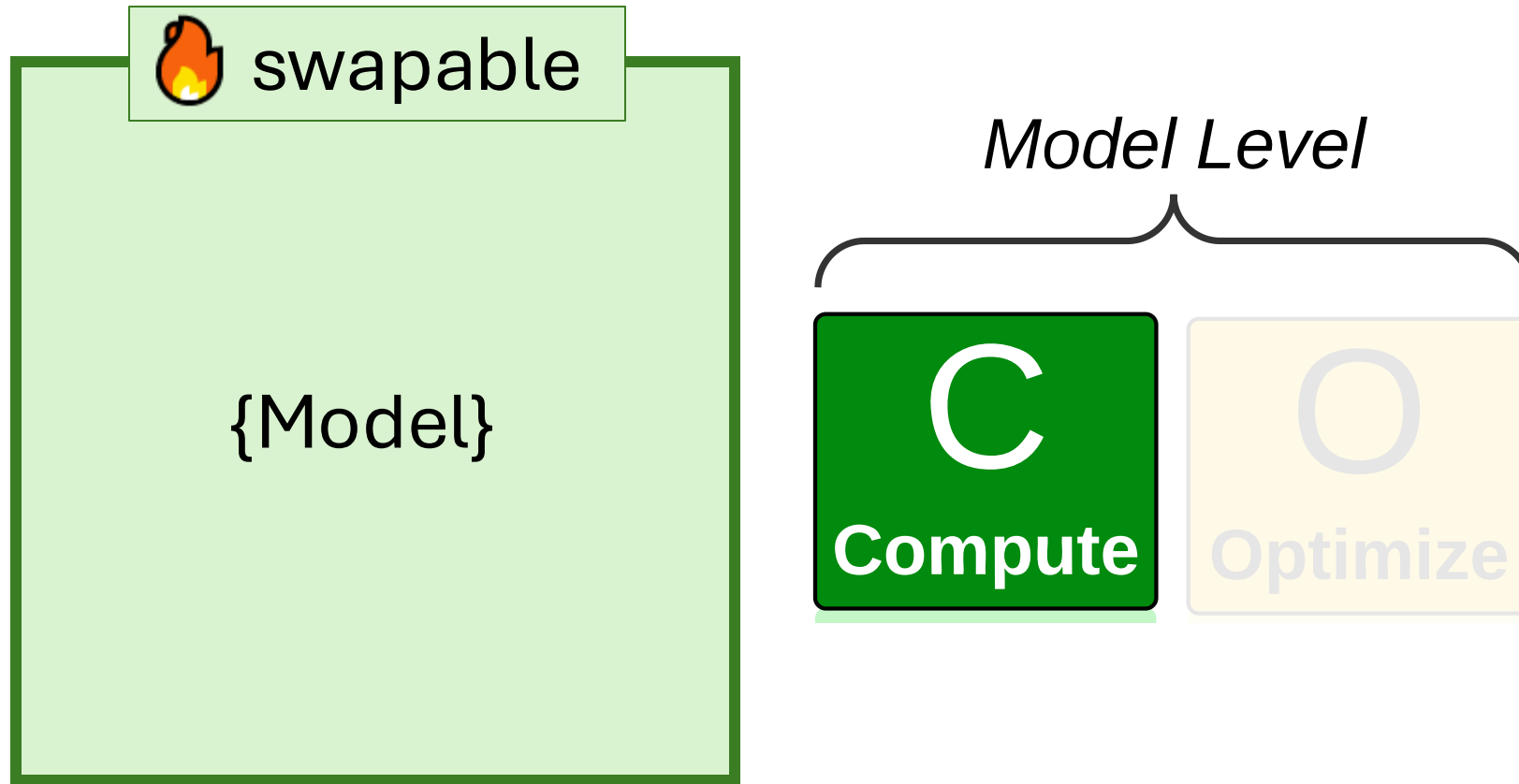


**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery

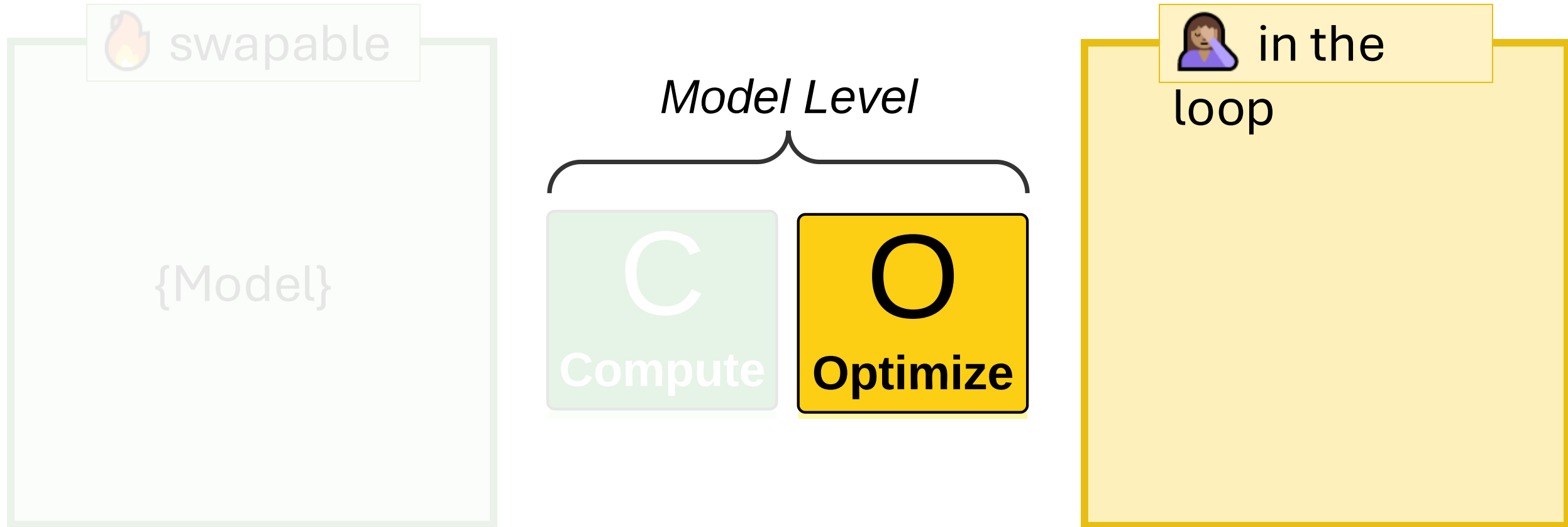


**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

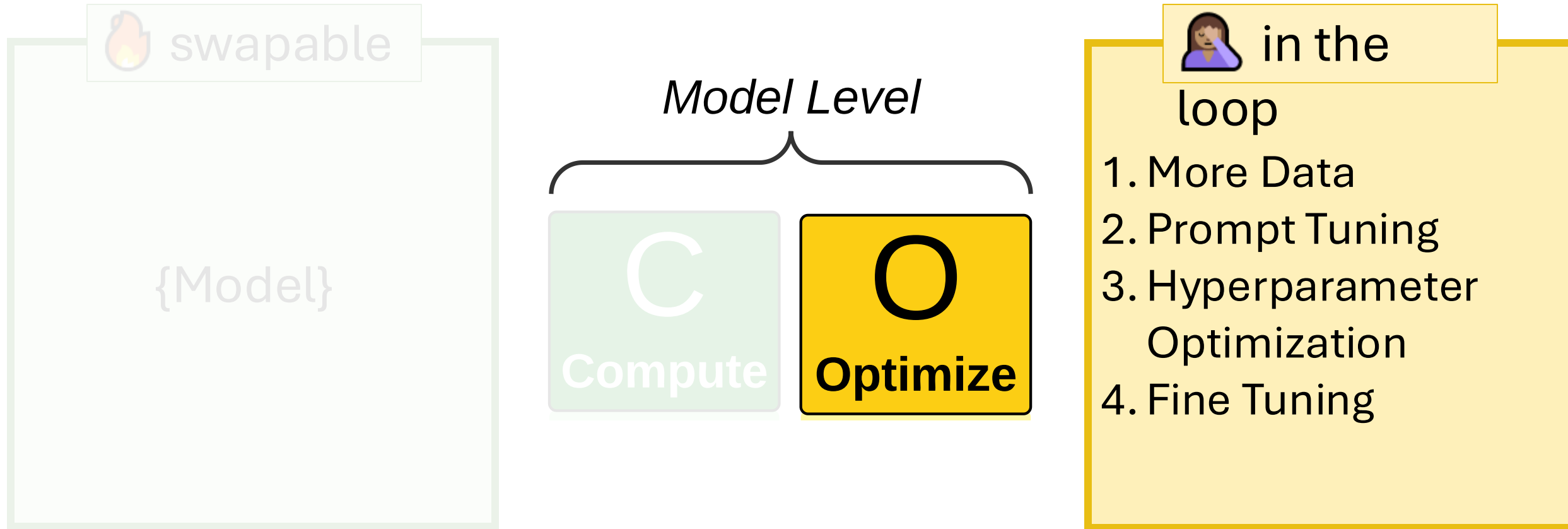
*{end-to-end ML Dev-Dep pipeline}*  
**is modular & model agnostic**



{*end-to-end* ML Dev-Dep pipeline}  
is amenable to **clinical-technical optimization**



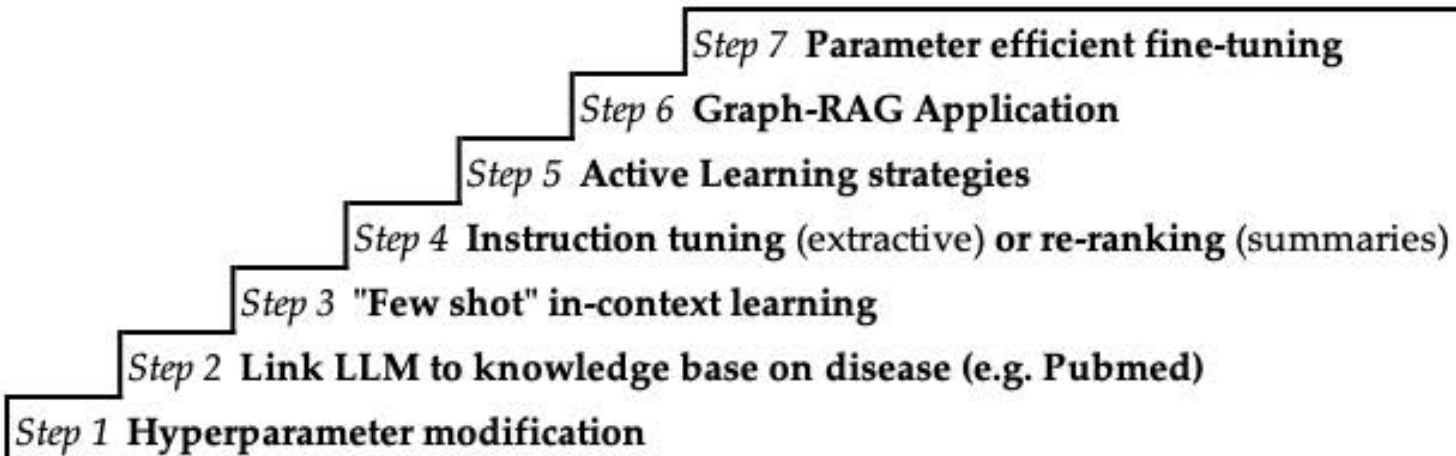
{*end-to-end* ML Dev-Dep pipeline}  
is amenable to **clinical-technical optimization**



# {*end-to-end* ML Dev-Dep pipeline} is amenable to **clinical-technical optimization**



**Figure.** Iterative "step-up approach" to improving Vision Language Model (VLM) performance in a Retrieval Augmented Generation (RAG) based system



## Capable of Implementing?

<i>End-user alone</i>	<i>Coder alone</i>	<i>Clinical SME alone</i>	<i>Coder + SME</i>
No	Maybe	No	Yes
No	Maybe	No	Yes
No	Maybe	No	Yes
Maybe	No	Yes	Yes
Maybe	No	Yes	Yes
No	Maybe	Maybe	Yes
Yes	Maybe	Yes	Yes

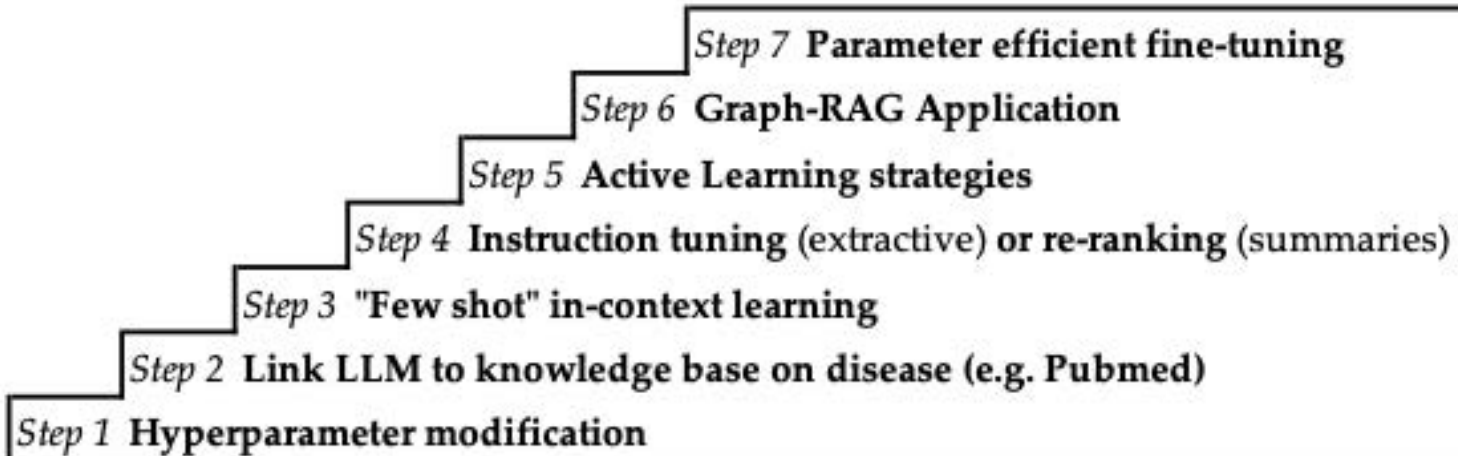


# {end-to-end ML Dev-Dep pipeline} is amenable to **clinical-technical optimization**



 in the

**Figure.** Iterative "step-up approach" to improving Vision Language Model (VLM) performance in a Retrieval Augmented Generation (RAG) based system



## Capable of Implementing?

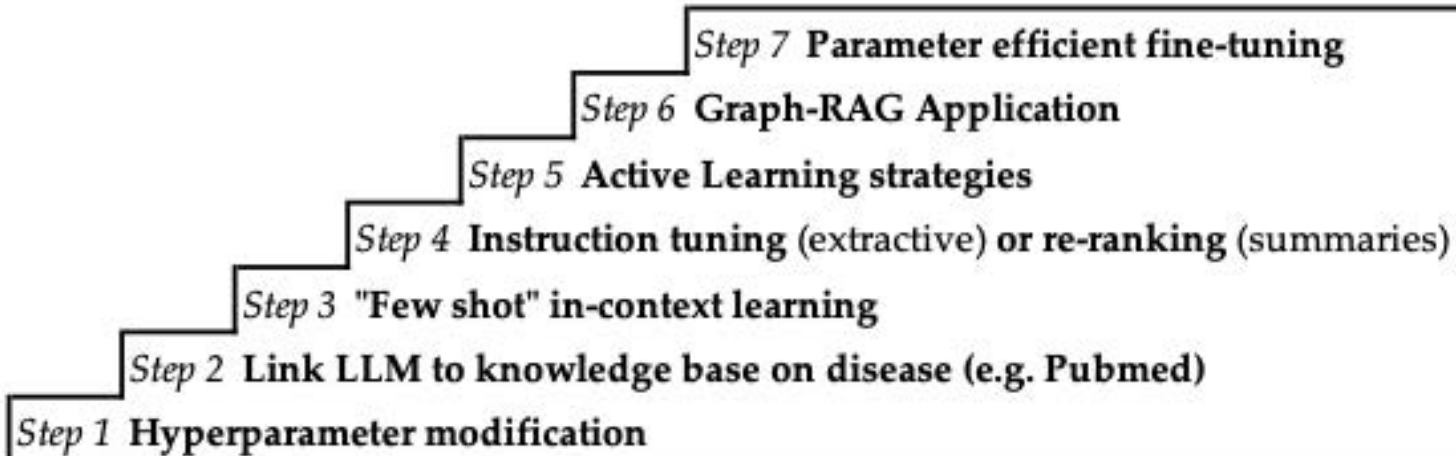
<i>End-user alone</i>	<i>Coder alone</i>	<i>Clinical SME alone</i>	<b>Coder + SME</b>
No	Maybe	No	Yes
No	Maybe	No	Yes
No	Maybe	No	Yes
Maybe	No	Yes	Yes
Maybe	No	Yes	Yes
No	Maybe	Maybe	Yes
Yes	Maybe	Yes	Yes



# {end-to-end ML Dev-Dep pipeline} is amenable to **clinical-technical optimization**



**Figure.** Iterative "step-up approach" to improving Vision Language Model (VLM) performance in a Retrieval Augmented Generation (RAG) based system



## Capable of Implementing?

<i>End-user alone</i>	<i>Coder alone</i>	<i>Clinical SME alone</i>	<i>Coder + SME</i>
No	Maybe	No	Yes
No	Maybe	No	Yes
No	Maybe	No	Yes
Maybe	No	Yes	Yes
Maybe	No	Yes	Yes
No	Maybe	Maybe	Yes
Yes	Maybe	Yes	Yes





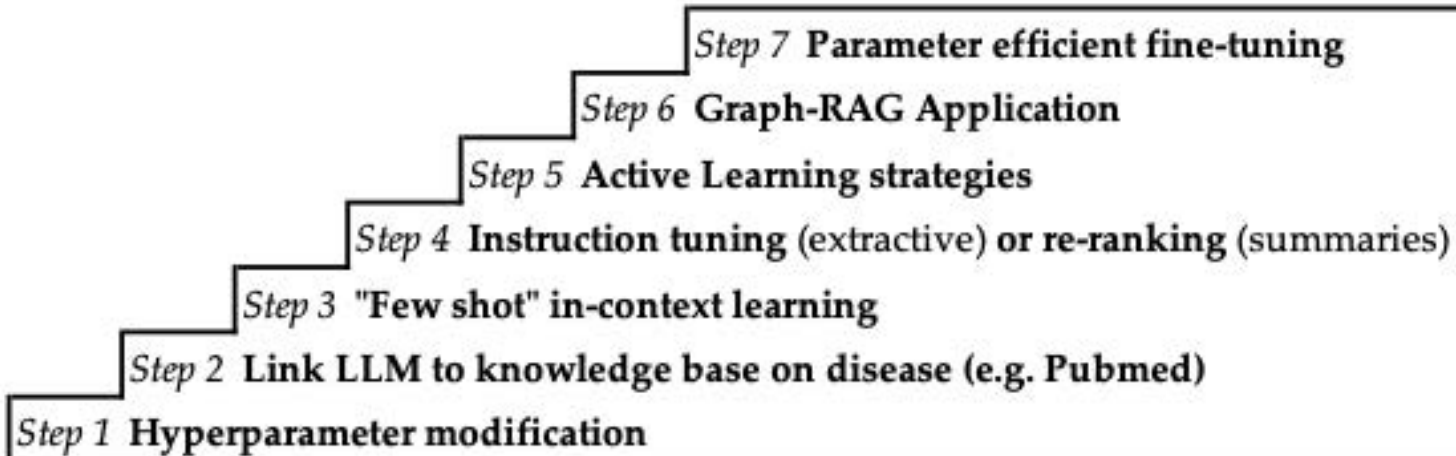
# {*end-to-end* ML Dev-Dep pipeline}

is amenable to **clinical-technical optimization**



in the

**Figure.** Iterative "step-up approach" to improving Vision Language Model (VLM) performance in a Retrieval Augmented Generation (RAG) based system



## Capable of Implementing?

<i>End-user alone</i>	<i>Coder alone</i>	<i>Clinical SME alone</i>	<i>Coder + SME</i>
No	Maybe	No	Yes
No	Maybe	No	Yes
No	Maybe	No	Yes
Maybe	No	Yes	Yes
Maybe	No	Yes	Yes
No	Maybe	Maybe	Yes
Yes	Maybe	Yes	Yes



## Part 2 – Experiential Learning

# Current Status



---

Association for Academic Surgery

## Use of Deep Learning to Identify Peripheral Arterial Disease Cases From Narrative Clinical Notes

Shantanu Dev, BS,<sup>a,b</sup> Andrew Zolensky, BS,<sup>b,c</sup> Hanaa Dakour Aridi, MD,<sup>d</sup>  
Catherine Kelty, PhD, MS,<sup>e</sup> Mackenzie K. Madison, MD, MS,<sup>d</sup>  
Anush Motaganahalli, MPH,<sup>b</sup> Benjamin S. Brooke, MD, PhD, FACS,<sup>f</sup>  
Brian Dixon, PhD, MPA,<sup>g</sup> Malaz Boustani, MD, MPH,<sup>h,i</sup>  
Zina Ben Miled, PhD,<sup>j</sup> Ping Zhang, PhD,<sup>a</sup>  
and Andrew A. Gonzalez, MD, JD, MPH, FACS<sup>b,d,k,\*</sup>

<sup>a</sup> Department of Computer Science and Engineering, College of Engineering, The Ohio State University, Columbus, Ohio

<sup>b</sup> Center for Health Services Research, Regenstrief Institute, Indianapolis, Indiana

<sup>c</sup> Department of Computer and Information Science, School of Engineering and Applied Science, University of Pennsylvania, Philadelphia, Pennsylvania

<sup>d</sup> Division of Vascular Surgery, Indiana University School of Medicine, Indianapolis, Indiana

<sup>e</sup> Division of Nephrology, Indiana University School of Medicine, Indianapolis, Indiana

<sup>f</sup> Department of Surgery, Utah Intervention Quality & Implementation Research Group (U-INQUIRE), University of Utah, Salt Lake City, Utah

<sup>g</sup> Center for Biomedical Informatics, Regenstrief Institute, Indianapolis, Indiana

<sup>h</sup> Center for Health Innovation & Implementation Science, Indiana University, Indianapolis, Indiana

<sup>i</sup> Center for Aging Research, Regenstrief Institute, Indianapolis, Indiana

<sup>j</sup> Department of Electrical and Computer Engineering, Lemar Institute of Technology, Beaumont, Texas

<sup>k</sup> Department of Surgery, Surgical Outcomes & Quality Improvement Center (SOQIC), Indiana University School of Medicine, Indianapolis, Indiana



**Table 1 — Study demographics, stratified by training/testing cohort for the Indiana Peripheral Arterial Disease Cohort (2010-2020).**

Variables	Training set				Testing set			
	Total	%	PAD codes	Non-PAD codes	Total	%	PAD codes	Non-PAD codes
Race								
White	229,731	67.8%	13,114	216,617	98,892	68.1%	5505	93,387
Black	99,096	29.2%	4513	94,583	41,981	28.9%	1924	40,057
Other	10,222	3.0%	296	9926	4441	3.0%	132	4309
Ethnicity								
Hispanic or Latino %	18,238	5.4%	608	17,630	7845	5.6%	260	7585
Not Hispanic or Latino %	312,343	92.1%	16,308	296,035	133,912	92.2%	6895	127,017
Unknown ethnicity %	8468	2.5%	1011	7457	3557	2.4%	406	3151
Gender								
Male %	168,960	49.8%	10,208	158,752	72,759	50.1%	4300	68,459
Female %	170,089	50.2%	7715	162,374	72,555	49.9%	3261	69,294



**Table 2 – Model performance on the testing dataset for PAD note classification task from the Indiana Peripheral Arterial Disease Cohort (2010-2020), stratified by search strategy.**

Performance metric	Keyword search	DL	P value
Mathew correlation coefficient	0.20	0.51	<0.001
Sensitivity	0.62	0.70	<0.001
Specificity	0.94	0.99	<0.001
Positive predictive value	0.69	0.82	<0.001
Negative predictive value	0.96	0.97	<0.001
Accuracy	0.91	0.96	<0.001

At the encounter level, ground truth labels for PAD encounters versus non-PAD encounters is based on ICD 9/10 codes. Meaning, positive cases are those with PAD ICD-9/10 codes and negative controls are those without PAD ICD-9/10 codes for a given encounter.





**Table 4 – Examples of false positive and false negative model predictions using the BioMed-RoBERTa language model on the Indiana Peripheral Arterial Disease Cohort (2010-2020).**

Incorrect response type	Ref	Note type	Text for discussion	Comment regarding potential misclassification reasons
False negative examples	<sup>1</sup>	Discharge summary	With the goal of trying to avoid the surgery.... The rest of the hospital stay the patient was followed as appropriate by ...cardiovascular surgery. As it turned out... did not need any cardiovascular surgery interventions.	Model confused by the similar verbiage between vascular and cardiac surgery.
	<sup>2</sup>	Discharge summary	Past medical history: 1. coronary artery disease. 2. peripheral vascular disease... [CT abdomen and pelvis w/IV contrast] ..."vasculature: Advanced atherosclerotic change of the abdominal aorta without aneurysm"	Artifact from billing code for PAD being present despite PAD treatment not being included in the prose of this discharge summary.



# Studies to evaluate “**non-random error** associated with increased delta between reality and perception”

## 1. Ablation

- Systematically remove demographics and evaluate model performance
- For generative tasks vary demographics and see if prediction changes



# Studies to evaluate “**non-random error** associated with increased delta between reality and perception”

## 1. Ablation

- Systematically remove demographics and evaluate model performance
- For generative tasks vary demographics and see if prediction changes

## 2. Reverse prediction

- Predict demographics instead of outcomes





# Studies to evaluate “**non-random error** associated with increased delta between reality and perception”

## 1. Ablation

- Systematically remove demographics and evaluate model performance
- For generative tasks vary demographics and see if prediction changes

## 2. Reverse prediction

- Predict demographics instead of outcomes

## 3. Differential performance

- Our model, your data
- Our data, your model



Working Titles	H <sub>0</sub> testing	Reviews	Viewpoints
<i>Impact of race, gender, and age on LLM based diagnosis of Peripheral Arterial Disease from provider narratives</i>	X		
<i>Assisting sources of error in machine-based diagnosis of Peripheral Arterial Disease</i>	X		
<b>Adaptive Performance Benchmarking in Healthcare</b> <i>Foundational Models: the need for a national assurance lab</i>			X
<b>Beyond Explainability</b> – <i>How to build trustworthy systems in clinical machine learning</i>		X	
<b>The culture divide between medicine and Silicon Valley:</b> <i>causes, implications, and a path forward</i>			X
<b>Escaping the AI Hype Cycle:</b> refocusing healthcare AI on problems that matter			X
<b>A {roadmap, playbook} for successful academic industry partnerships in healthcare AI</b>		X	



## Part 3 – Next steps

# Funding to impact



# Subproblems with different boundary conditions:

$$\left. \begin{array}{l} \} \\ \} \end{array} \right\} \lim_{0 \rightarrow 1} v(x) = \textit{viability}$$



# Subproblems with different boundary conditions:

$$\} \quad \lim_{0 \rightarrow 1} v(x) = \textit{viability}$$

$$\} \quad \lim_{1 \rightarrow 100} s(y) = \textit{scale}$$

$$\} \quad v(x) \neq s(y)$$



# Subproblems with different boundary conditions:

$$\} \quad \lim_{0 \rightarrow 1} v(x) = \textit{viability}$$

$$\} \quad \lim_{1 \rightarrow 100} s(y) = \textit{scale}$$

$$\} \quad v(x) \neq s(y)$$

$\} \quad \textbf{pilot } v(x),$   
if viable > 1, get (\$)  
else, die trying



# Subproblems with different boundary conditions:

}  $\lim_{0 \rightarrow 1} v(x) = \textit{viability}$

}  $\lim_{1 \rightarrow 100} s(y) = \textit{scale}$

}  $v(x) \neq s(y)$

} **pilot**  $v(x)$ ,

if viable > 1, get (\$)

else, die trying

} **deploy** (\$), solve  $s(y)$




# Funding to Impact Plan

1. K23 received a 29 impact score.
  - **Aim 1.** Design and validate a large language model (LLM) capable of extracting and summarizing PAD information from unstructured notes.
  - **Aim 2.** Co-design a minimally viable prototype for a “clinician in the loop” automated chart review (ACR) platform.
2. Plan for R21 to R01 pipeline.





# Funding to Impact Plan



Department of Health and Human Services

National Institutes of Health

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

Notice of Award

FAIN# K23HL181388

Federal Award Date

08/18/2025

Recipient Information	Federal Award Information
<div>1. Recipient Name</div> <div>TRUSTEES OF INDIANA UNIVERSITY</div> <div>980 INDIANA AVE RM 2232</div> <div>INDIANAPOLIS, IN 46202</div>	<div>11. Award Number</div> <div>1K23HL181388-01</div>
<div>2. Congressional District of Recipient</div> <div>07</div>	<div>12. Unique Federal Award Identification Number (FAIN)</div> <div>K23HL181388</div>
<div>3. Payment System Identifier (ID)</div> <div>1356001673A1</div>	<div>13. Statutory Authority</div> <div>42 USC 241 42 CFR 52</div>
<div>4. Employer Identification Number (EIN)</div> <div>356001673</div>	<div>14. Federal Award Project Title</div> <div>An intelligent clinical decision support system for peripheral arterial disease</div>
<div>5. Data Universal Numbering System (DUNS)</div> <div>603007902</div>	<div>15. Assistance Listing Number</div> <div>93.837</div>
<div>6. Recipient's Unique Entity Identifier</div> <div>SHHBRBAPSM35</div>	<div>16. Assistance Listing Program Title</div> <div>Cardiovascular Diseases Research</div>
<div>7. Project Director or Principal Investigator</div> <div>Andrew Alexander Gonzalez, MD</div> <div>andrewg@iu.edu</div> <div>312-259-7893</div>	<div>17. Award Action Type</div> <div>New Competing</div> <div>18. Is the Award R&amp;D?</div> <div>Yes</div>

# Funding to Impact Plan

## 1. K23 funded(K23HL181388)

- **Aim 1.** Design and validate a large language model (LLM) capable of extracting and summarizing PAD information from unstructured notes.
- **Aim 2.** Co-design a minimally viable prototype for a “clinician in the loop” automated chart review (ACR) platform.

## 2. Plan for R21 to R01 pipeline.

### Feasibility

#### **R21**

Backend system capability

#### **R21**

Front-end user desirability

### Impact



# Funding to Impact Plan

## 1. K23 funded(K23HL181388)

- **Aim 1.** Design and validate a large language model (LLM) capable of extracting and summarizing PAD information from unstructured notes.
- **Aim 2.** Co-design a minimally viable prototype for a “clinician in the loop” automated chart review (ACR) platform.

## 2. Plan for R21 to R01 pipeline.

### Feasibility

#### R21

Backend system capability

#### R21

Front-end user desirability

### Impact

#### R01 Clinical Trial

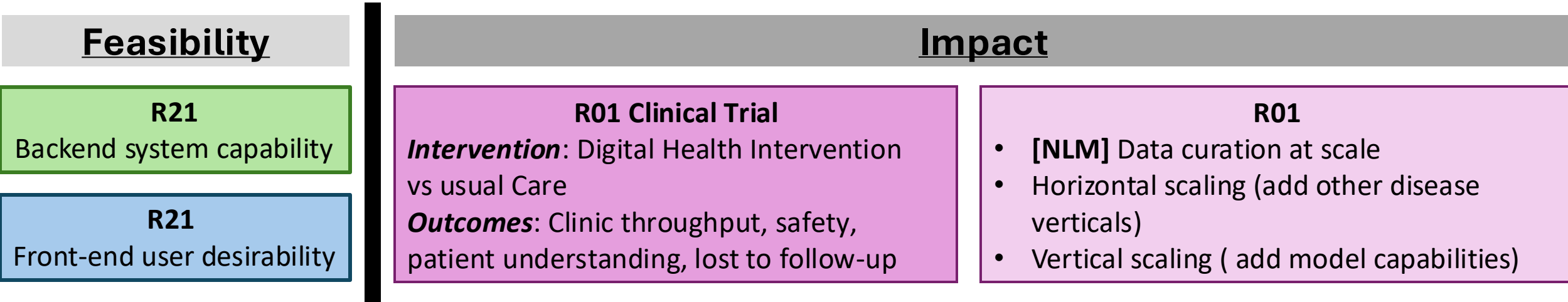
**Intervention:** Digital Health Intervention vs usual Care

**Outcomes:** Clinic throughput, safety, patient understanding, lost to follow-up



# Funding to Impact Plan

- 1. K23 funded(K23HL181388)
  - **Aim 1.** Design and validate a large language model (LLM) capable of extracting and summarizing PAD information from unstructured notes.
  - **Aim 2.** Co-design a minimally viable prototype for a “clinician in the loop” automated chart review (ACR) platform.
- 2. Plan for R21 to R01 pipeline.



# Subproblems with different boundary conditions:

R21

Backend system capability

}  $\lim_{0 \rightarrow 1} v(x) = \textit{viability}$

}  $\lim_{1 \rightarrow 100} s(y) = \textit{scale}$

}  $v(x) \neq s(y)$

} **pilot**  $v(x)$ ,

if viable > 1, get (\$)

else, die trying

} **deploy** (\$), solve  $s(y)$



# Subproblems with different boundary conditions:

}  $\lim_{0 \rightarrow 1} v(x) = \textit{viability}$

}  $\lim_{1 \rightarrow 100} s(y) = \textit{scale}$

}  $v(x) \neq s(y)$

} **pilot**  $v(x)$ ,

if viable > 1, get (\$)

else, die trying

} **deploy** (\$), solve  $s(y)$

R21

Backend system capability

R21

Front-end user desirability



# Subproblems with different boundary conditions:

}  $\lim_{0 \rightarrow 1} v(x) = \textit{viability}$

}  $\lim_{1 \rightarrow 100} s(y) = \textit{scale}$

}  $v(x) \neq s(y)$

} **pilot**  $v(x)$ ,

if viable > 1, get (\$)

else, die trying

} **deploy** (\$), solve  $s(y)$

R21

Backend system capability

R21

Front-end user desirability

R01 Clinical Trial

**Intervention:** Digital Health Intervention  
vs usual Care

**Outcomes:** Clinic throughput, safety,  
patient understanding, lost to follow-up



INDIANA UNIVERSITY SCHOOL OF MEDICINE

Department of Surgery | Division of Vascular Surgery

# Subproblems with different boundary conditions:

}  $\lim_{0 \rightarrow 1} v(x) = \textit{viability}$

}  $\lim_{1 \rightarrow 100} s(y) = \textit{scale}$

}  $v(x) \neq s(y)$

} **pilot**  $v(x)$ ,

if viable > 1, get (\$)

else, die trying

} **deploy** (\$), solve  $s(y)$

**R21**

Backend system capability

**R01**

- [NLM] Data curation at scale
- Horizontal scaling (add other disease verticals)
- Vertical scaling ( add model capabilities)

**R21**

Front-end user desirability

**R01 Clinical Trial**

**Intervention:** Digital Health Intervention vs usual Care

**Outcomes:** Clinic throughput, safety, patient understanding, lost to follow-up





# Implementing iCHAI trustworthiness framework for future grants and projects



Choice 1 - **ALCHEMI** Lab  
Appled Learning in Clinical  
Healthcare Empowered by  
Machine Intelligence

Choice 2 - **ELACHI** Lab  
Evidence-based Learning and  
Analytics for Clinical Healthcare  
Insights

**Implementing ~~iCHAI~~ trustworthiness  
framework for future grants and projects**



# iCHAI Trustworthiness Framework

Data	Model	Output	Implementation
	Mechanistic Interpretability		

# iCHAI Trustworthiness Framework

Data	Model	Output	Implementation
<b>Observability</b> (of training data)	<b>Clinical Interpretability</b>	<b>Accuracy</b> (factually correctness)	<b>Safety</b> (does system cause harm)
<b>Transparency</b> (of ontology)	<b>Mechanistic Interpretability</b>	<b>Alignment</b> (clinical setting dependent)	<b>Sustainability</b> (w/respect to resources)
<b>Provenance</b> (of labels)	<b>Validity</b> (internal and external)	<b>Verifiability</b> (by humans or machines)	<b>Reliability</b> (across clinical scenarios)



# Guiding Principles for Healthcare AI model development, pipeline deployment, and system implementation

1. System trustworthiness  $\neq$  Trusted by humans
2. Trust is an essentially subjective human feeling... the ultimate human-on-the-loop safeguard.
  - **Why?:** Cannot be programed; unlikely to be an emergent behavior that can be described by a differential equation and optimized with a loss function
    - **Caveat:** Trust itself is an essentially human phenomenon, but machines can be programed to determine if a human would likely trust an output in a particular situation.
3. Trust is much easier to lose than to build.
4. Building trustworthy systems is as easy as its every going to be.
  - **Current state:** machine-human hybrid systems are (presumably) non-adversarial and tasked with solving relatively easy problems
  - **Future state:** Running out of training data....what if every agent isn't playing for the same team?



# Transitioning to **multi-modal models** implemented in **multi-agent systems**



# Hierarchical components of a clinical *socio-technical* system

1. {**Service**} (class: Clinical, research, administrative, legal, logistical, business)
2. Clinical {**activity**}
  - Purpose: *diagnosis, prognosis, treatment selection*
  - Task: thinking, doing, recording, communicating
3. Data processing {**pipeline**}
4. machine {**agents**}
5. Deep learning {**models**}

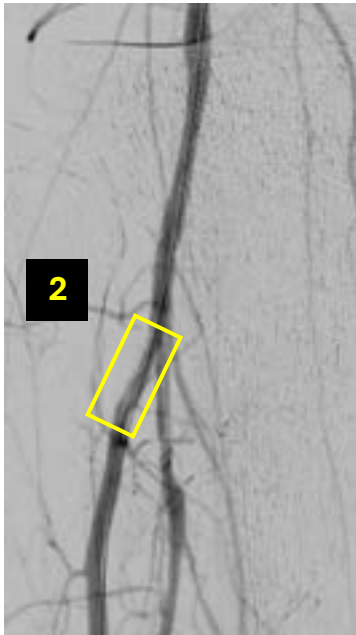


# Example 1 – MV{e}

## Image-text pair(s)

Initially, there was a short segment near-occlusive lesion of the proximal right AT,...

After treatment with a 4 mm x 6 mm Lutonix the proximal AT lesion resolved with no significant residual stenosis, the



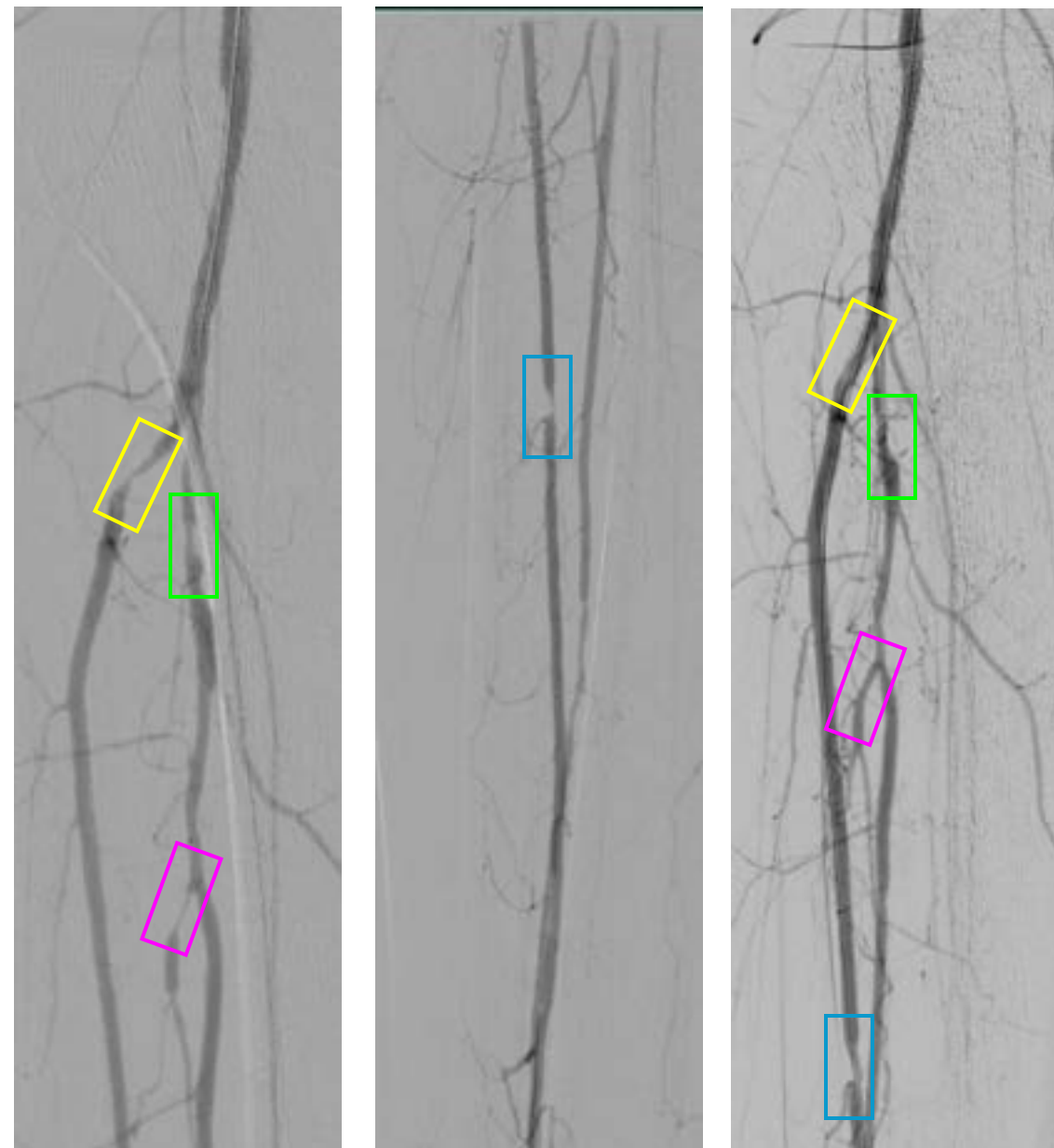
Lesion	Lat.	Vessel	Location	State, pre-treatment	Treatment	State, post-treatment	Tx, Response	Image, pre	Image, post
PL-298	Right	Anterior tibial artery	Proximal 1/3	Near occlusive stenosis	4 mm Lutonix	Min residual stenosis	Adequate	Img_pl298_1	Img_pl298_2



# Example 2 – Real world Image-text pair(s)

short segment near-occlusive lesion of the proximal right AT  
short segment near occlusive lesion of the mid AT  
short segment moderate stenosis of the proximal right TP trunk  
a short segment near occlusive lesion of the right peroneal artery

the proximal AT lesion resolved with no significant residual stenosis  
mid-AT lesion improved but had a 30% residual stenosis relative to the adjacent normal artery  
the proximal TP trunk lesion had minimal residual stenosis but did not dilate up to the adjacent TP trunk artery  
proximal peroneal lesion resolved with no significant residual stenosis



# Questions...?

 [andrewg@iu.edu](mailto:andrewg@iu.edu)

 [@dragonzMD](https://www.instagram.com/dragonzMD) (Insta)

 [@dragonzMD](https://twitter.com/dragonzMD) (twitter)



**INDIANA UNIVERSITY**  
SCHOOL OF MEDICINE

**50**  **Regenstrief**  
*years* **Institute**



**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

# Supplementary Content



**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

# The role of Equity in Healthcare AI



**INDIANA UNIVERSITY SCHOOL OF MEDICINE**  
Department of Surgery | Division of Vascular Surgery



**REGENSTRIEF INSTITUTE**  
Center for Health Services Research

# Winning at equity with 4D Chess

1. Development
2. Data
3. Deployment
4. Decisions



# Equity Considerations, a 4D Chess Approach

Set up	Level	Development	Data	Deployment	Decisions
<b>Player</b>	Individual / Institutional				
<b>Opponent</b>	Individual / Institutional				
<b>The Board</b>	System				



# Considerations for model building

1. Data needed to build a model (type and amount)
2. What do you want the model to predict?
3. Output in a **useful form for end-users** in a **system that will listen** to those users (nudges and legitimacy; beware Semmelweis)

